Patient Identification

*Patient Name *First Name	*Middle Name	*Last Name	Last Name Soundex

*Alternate Name Type (ex: Birth, Call Me)	*First Name	*Middle Name	*Last Name

Address Type ☐ Residential ☐ Bad Address ☐ Correctional Facility ☐ Foster Home ☐ Homeless ☐ Postal ☐ Shelter ☐ Temporary	*Current Street Address	*Phone ( ) _____

City	County	State/Country	*ZIP Code

*Medical Record Number	*Other ID Type: Social Security	Number:

U.S. Department of Health & Human Services

Pediatric HIV Confidential Case Report Form

(Patients <13 Years of Age at Time of Diagnosis) * Information NOT transmitted to CDC

Centers for Disease Control and Prevention

Form approved OMB no. 0920-0573 Exp. 02/29/2016

Health Department Use Only

Date Received at Health Department __ __/__ __/__ __ __ __	eHARS Document UID _____	State Number _____

Reporting Health Dept - City/County	City/County Number

Document Source _____	Surveillance Method ☐ Active ☐ Passive ☐ Follow up ☐ Reabstraction ☐ Unknown

Did this report initiate a new case investigation? ☐ Yes ☐ No ☐ Unknown	Report Medium ☐ 1-Field Visit ☐ 2-Mailed ☐ 3-Faxed ☐ 4-Phone ☐ 5-Electronic Transfer ☐ 6-CD/Disk

Facility Providing Information (record all dates as mm/dd/yyyy)

Facility Name	*Phone ( ) _____

*Street Address

City	County	State/Country	ZIP Code

Facility Type	*Inpatient:* ☐ Hospital ☐ Other, specify _____	*Outpatient:* ☐ Private Physician's Office ☐ Pediatric Clinic ☐ Pediatric HIV Clinic ☐ Other, specify _____	*Other Facility:* ☐ Emergency Room ☐ Laboratory ☐ Unknown ☐ Other, specify _____

Date Form Completed __ __/__ __/__ __ __ __	*Person Completing Form	*Phone ( ) _____

Patient Demographics (record all dates as mm/dd/yyyy)

Diagnostic Status at Report ☐ 3-Perinatal HIV Exposure ☐ 4-Pediatric HIV ☐ 5-Pediatric AIDS ☐ 6-Pediatric Seroreverter	Sex assigned at Birth ☐ Male ☐ Female ☐ Unknown	Country of Birth ☐ US ☐ Other/US Dependency (please specify) _____

Date of Birth __ __/__ __/__ __ __ __	Alias Date of Birth __ __/__ __/__ __ __ __

Vital Status ☐ 1-Alive ☐ 2-Dead	Date of Death __ __/__ __/__ __ __ __	State of Death _____

Date of Last Medical Evaluation __ __/__ __/__ __ __ __	Date of Initial Evaluation for HIV __ __/__ __/__ __ __ __

Ethnicity ☐ Hispanic/Latino ☐ Not Hispanic/Latino ☐ Unknown	*Expanded Ethnicity _____

Race (check all that apply) ☐ American Indian/Alaska Native ☐ Asian ☐ Black/African American ☐ Native Hawaiian/Other Pacific Islander ☐ White ☐ Unknown	*Expanded Race _____

Residence at Diagnosis (add additional addresses in Comments)

Address Type (Check all that apply to address below)	☐ Residence at HIV diagnosis	☐ Residence at AIDS diagnosis	☐ Residence at Perinatal Exposure	☐ Residence at Pediatric Seroreverter	☐ Check if SAME as Current Address

* Street Address

City	County	State/Country	*ZIP Code

STATE/LOCAL USE ONLY — **– Patient identifier information is not transmitted to CDC! –**

Physician's Name: (Last, First, M.I.) _____ Phone No: ( ) _____ Medical Record No._____

Hospital/Facility: _____ Person Completing Form: _____

Facility of Diagnosis (add additional facilities in Comments)

Diagnosis Type ☐HIV ☐AIDS ☐Perinatal Exposure (check all that apply to facility below) ☐Check if SAME as Facility Providing Information

Facility Name			***Phone** ( ) _____
***Street Address**			
City	**County**	**State/Country**	**ZIP Code**

Facility Type *Inpatient*: ☐Hospital ☐Other, specify _____ *Outpatient*: ☐Private Physician's Office ☐Pediatric Clinic ☐Pediatric HIV Clinic ☐Other, specify _____ *Other Facility*: ☐Emergency Room ☐Laboratory ☐Unknown ☐Other, specify _____

***Provider Name**	***Provider Phone** ( ) _____	***Specialty**

Patient History (respond to all questions) (record all dates as mm/dd/yyyy)

Child's biological mother's HIV infection status (select one): ☐1-Refused HIV testing ☐2-Known to be uninfected after this child's birth ☐3-Known HIV+ before pregnancy ☐4-Known HIV+ during pregnancy ☐5-Known HIV+ sometime before birth ☐6-Known HIV+ at delivery ☐7-Known HIV+ after child's birth ☐8-HIV+, time of diagnosis unknown ☐9-HIV status unknown

Date of mother's first positive HIV confirmatory test: __ __/__ __/__ __ __ __	Was the biological mother counseled about HIV testing during this pregnancy, labor, or delivery? ☐Yes ☐No ☐Unknown

After 1977 and before the earliest known diagnosis of HIV infection, this child's biological mother had:	
Perinatally acquired HIV infection	☐Yes ☐No ☐Unknown
Injected non-prescription drugs	☐Yes ☐No ☐Unknown
Biological Mother had HETEROSEXUAL relations with any of the following:	
HETEROSEXUAL contact with intravenous/injection drug user	☐Yes ☐No ☐Unknown
HETEROSEXUAL contact with bisexual male	☐Yes ☐No ☐Unknown
HETEROSEXUAL contact with person with hemophilia/coagulation disorder with documented HIV infection	☐Yes ☐No ☐Unknown
HETEROSEXUAL contact with transfusion recipient with documented HIV infection	☐Yes ☐No ☐Unknown
HETEROSEXUAL contact with transplant recipient with documented HIV infection	☐Yes ☐No ☐Unknown
HETEROSEXUAL contact with person with documented HIV infection, risk not specified	☐Yes ☐No ☐Unknown
Received transfusion of blood/blood components (other than clotting factor) (document reason in Comments section) First date received __ __/__ __/__ __ __ __ Last date received __ __/__ __/__ __ __ __	☐Yes ☐No ☐Unknown
Received transplant of tissue/organs or artificial insemination	☐Yes ☐No ☐Unknown
Before the diagnosis of HIV infection, this child had:	
Injected non-prescription drugs	☐Yes ☐No ☐Unknown
Received clotting factor for hemophilia/coagulation disorder — Specify clotting factor: Date received: __ __/__ __/__ __ __ __	☐Yes ☐No ☐Unknown
Received transfusion of blood/blood components (other than clotting factor) (document reason in Comments section) First date received __ __/__ __/__ __ __ __ Last date received __ __/__ __/__ __ __ __	☐Yes ☐No ☐Unknown
Received transplant of tissue/organs	☐Yes ☐No ☐Unknown
Sexual contact with male	☐Yes ☐No ☐Unknown
Sexual contact with female	☐Yes ☐No ☐Unknown
Other documented risk (please include detail in Comments section)	☐Yes ☐No ☐Unknown

Laboratory Data (record additional tests in Comments section) (record all dates as mm/dd/yyyy)

HIV Antibody Tests (Non-type-differentiating)

TEST 1: ☐ HIV-1 IA ☐ HIV-1/2 IA ☐ HIV-1/2 Ag/Ab ☐ HIV-1 WB ☐ HIV-1 IFA ☐ HIV-2 IA ☐ HIV-2 WB ☐ Other: Specify Test: _____

RESULT: ☐ Positive/Reactive ☐ Negative/Nonreactive ☐ Indeterminate ☐ **RAPID TEST** (*check if rapid*): **Collection Date:** __ __ /__ __ /__ __ __ __

Manufacturer: _____

TEST 2: ☐ HIV-1 IA ☐ HIV-1/2 IA ☐ HIV-1/2 Ag/Ab ☐ HIV-1 WB ☐ HIV-1 IFA ☐ HIV-2 IA ☐ HIV-2 WB ☐ Other: Specify Test: _____

RESULT: ☐ Positive/Reactive ☐ Negative/Nonreactive ☐ Indeterminate ☐ **RAPID TEST** (*check if rapid*): **Collection Date:** __ __ /__ __ /__ __ __ __

Manufacturer: _____

TEST 3: ☐ HIV-1 IA ☐ HIV-1/2 IA ☐ HIV-1/2 Ag/Ab ☐ HIV-1 WB ☐ HIV-1 IFA ☐ HIV-2 IA ☐ HIV-2 WB ☐ Other: Specify Test: _____

RESULT: ☐ Positive/Reactive ☐ Negative/Nonreactive ☐ Indeterminate ☐ **RAPID TEST** (*check if rapid*): **Collection Date:** __ __ /__ __ /__ __ __ __

Manufacturer: _____

HIV Antibody Tests (Type-differentiating) [HIV-1 vs. HIV-2]

TEST: ☐ HIV-1/2 Type-differentiating (e.g., Multispot)

RESULT: ☐ HIV-1 ☐ HIV-2 ☐ Both (undifferentiated) ☐ Neither (negative) ☐ Indeterminate **Collection Date:** __ __ /__ __ /__ __ __ __

HIV Detection Tests (Qualitative)

TEST 1: ☐ HIV-1 RNA/DNA NAAT (Qual) ☐ HIV-1 P24 Antigen ☐ HIV-1 Culture ☐ HIV-2 RNA/DNA NAAT (Qual) ☐ HIV-2 Culture

RESULT: ☐ Positive/Reactive ☐ Negative/Nonreactive ☐ Indeterminate **Collection Date:** __ __ /__ __ /__ __ __ __

TEST 2: ☐ HIV-1 RNA/DNA NAAT (Qual) ☐ HIV-1 P24 Antigen ☐ HIV-1 Culture ☐ HIV-2 RNA/DNA NAAT (Qual) ☐ HIV-2 Culture

RESULT: ☐ Positive/Reactive ☐ Negative/Nonreactive ☐ Indeterminate **Collection Date:** __ __ /__ __ /__ __ __ __

HIV Detection Tests (Quantitative viral load) Note: Include earliest test at or after diagnosis

TEST 1: ☐ HIV-1 RNA/DNA NAAT (Quantitative viral load) ☐ HIV-2 RNA/DNA NAAT (Quantitative viral load)

RESULT: ☐ Detectable ☐ Undetectable **Copies/mL:** _____ **Log:** _____ **Collection Date:** __ __ /__ __ /__ __ __ __

TEST 2: ☐ HIV-1 RNA/DNA NAAT (Quantitative viral load) ☐ HIV-2 RNA/DNA NAAT (Quantitative viral load)

RESULT: ☐ Detectable ☐ Undetectable **Copies/mL:** _____ **Log:** _____ **Collection Date:** __ __ /__ __ /__ __ __ __

Immunologic Tests (CD4 count and percentage)

CD4 at or closest to current diagnostic status: CD4 count: _____cells/μL **CD4 percentage:** ____% **Collection Date:** __ __ /__ __ /__ __ __ __

First CD4 result <200 cells/μL or <14%: CD4 count: _____cells/μL **CD4 percentage:** ____% **Collection Date:** __ __ /__ __ /__ __ __ __

Other CD4 result: CD4 count: _____cells/μL **CD4 percentage:** ____% **Collection Date:** __ __ /__ __ /__ __ __ __

Documentation of Tests

Did documented laboratory test results meet approved HIV diagnostic algorithm criteria? ☐ Yes ☐ No ☐ Unknown
If YES, provide specimen collection date of earliest positive test for this algorithm: __ __ /__ __ /__ __ __ __

Complete the above only if none of the following was positive: HIV-1 Western blot, IFA, culture, p24 Ag test, viral load, or qualitative NAAT [RNA or DNA]

If laboratory tests were not documented, is patient confirmed by a physician as:

HIV-Infected ☐ Yes ☐ No ☐ Unknown **Date of diagnosis:** __ __ /__ __ /__ __ __ __

Not HIV-Infected ☐ Yes ☐ No ☐ Unknown **Date of diagnosis:** __ __ /__ __ /__ __ __ __

Clinical (record all dates as mm/dd/yyyy)

Diagnosis	OI	Dx Date	Diagnosis	OI	Dx Date	Diagnosis	OI	Dx Date
Bacterial infection, multiple or recurrent (including Salmonella septicemia)			HIV encephalopathy			Lymphoma, primary in brain		
Candidiasis, bronchi, trachea, or lungs			Herpes simplex: chronic ulcers (>1 mo. duration), bronchitis, pneumonitis, or esophagitis			Mycobacterium avium complex or M. kansasii, disseminated or extrapulmonary		
Candidiasis, esophageal			Histoplasmosis, disseminated or extrapulmonary			M. tuberculosis, disseminated or extrapulmonary†		
Coccidioidomycosis, disseminated or extrapulmonary			Isosporiasis, chronic intestinal (>1 mo. duration)			Mycobacterium, of other/ unidentified species, disseminated or extrapulmonary		
Cryptococcosis, extrapulmonary			Kaposi's sarcoma			Pneumocystis pneumonia		
Cryptosporidiosis, chronic intestinal (>1 mo. duration)			Lymphoid interstitial pneumonia and/or pulmonary lymphoid hyperplasia			Progressive multifocal leukoencephalopathy		
Cytomegalovirus disease (other than in liver, spleen, or nodes)			Lymphoma, Burkitt's (or equivalent)			Toxoplasmosis of brain, onset at >1 mo. of age		
Cytomegalovirus retinitis (with loss of vision)			Lymphoma, immunoblastic (or equivalent)			Wasting syndrome due to HIV		

Has this child been diagnosed with pulmonary tuberculosis? ☐ Yes ☐ No ☐ Unknown

If **Yes**, initial diagnosis: ☐ Definitive ☐ Presumptive ☐ Unknown Date:

†If TB selected above, indicate RVCT Case Number:

Birth History (for Perinatal Cases only)

Birth History Available ☐Yes ☐No ☐Unknown	**Residence at Birth**	☐Check if SAME as Current Address
*** Street Address**	**City**	
County	**State/Country**	***ZIP Code**

Hospital of Birth

☐Check if SAME as Facility Providing Information

Facility Name	***Phone** ( ) _____	**ZIP Code**	
***Street Address**	**City**	**County**	**State/Country**

Birth History

Birth Weight _____ lbs _____ oz _____ grams	**Type** ☐1-Single ☐2-Twin ☐3->2 ☐9-Unknown	**Delivery** ☐1-Vaginal ☐2-Elective Cesarean ☐3-Non-Elective Cesarean ☐4-Cesarean, unknown type ☐9-Unknown
Birth Defects ☐Yes ☐No ☐Unknown	**If yes, please specify:**	
Neonatal Status ☐1-Full-term ☐2-Premature ☐Unknown	**Neonatal Gestational Age in Weeks:** _____ (99–Unknown)	
Gestational Month Prenatal Care Began _____ (00-None, 99-Unknown)	**Prenatal Care – Total number of prenatal care visits:** _____ (00-None, 99-Unknown)	
Did mother receive any antiretrovirals (ARVs) prior to this pregnancy: ☐Yes ☐No ☐Refused ☐Unknown	**If yes, please specify all:**	
Did mother receive any ARVs during pregnancy? ☐Yes ☐No ☐Unknown	**If yes, please specify all:**	
Did mother receive any ARVs during labor/delivery? ☐Yes ☐No ☐Unknown	**If yes, please specify all:**	

Maternal Information

Maternal DOB	**Maternal Soundex**	**Maternal Stateno**	**Maternal Country of Birth**
***Other Maternal ID – List Type:**	**Number:**		

Services Referrals (record all dates as mm/dd/yyyy)

This child received or is receiving:

Neonatal ARVs for HIV prevention: ☐Yes ☐No ☐Unknown	**Date:** __ __ / __ __ / __ __ __ __
If Yes, please specify: 1) 2) 3) 4) 5)	
Anti-retroviral therapy for HIV treatment: ☐Yes ☐No ☐Unknown	**Date:** __ __ / __ __ / __ __ __ __
PCP Prophylaxis: ☐Yes ☐No ☐Unknown **Date:** __ __ / __ __ / __ __ __ __	**Was this child breastfed?** ☐Yes ☐No ☐Unknown
This child's primary caretaker is: ☐1- Biological Parent ☐2- Other Relative ☐3- Foster/Adoptive parent, relative ☐4- Foster/Adoptive parent, unrelated ☐7- Social Service Agency ☐8- Other (please specify in comments) ☐9- Unknown	

*Comments

*Local/Optional Fields

Initials (3) _____ Source Code A _____

PRISM # NIR Status: NIR OP ☐ NIR OP Date ___/___/___

Link with e-HARS stateno(s): NIR CL ☐ NIR CL Date ___/___/___

Hepatitis: A ☐ B ☐ C ☐ Other ☐ UNKnown ☐ NIR RE ☐ NIR RE Date ___/___/___

This report to the Centers for Disease Control and Prevention (CDC) is authorized by law (Sections 304 and 306 of the Public Health Service Act, 42 USC 242b and 242k). Response in this case is voluntary for federal government purposes, but may be mandatory under state and local statutes. Your cooperation is necessary for the understanding and control of HIV. Information in CDC's National HIV Surveillance System that would permit identification of any individual on whom a record is maintained, is collected with a guarantee that it will be held in confidence, will be used only for the purposes stated in the assurance on file at the local health department, and will not otherwise be disclosed or released without the consent of the individual in accordance with Section 308(d) of the Public Health Service Act (42 USC 242m).